Forbes | SHOOK
TOP ADVISOR SUMMIT

AGENDA

Monday, October 14, 2024		
3:00 – 6:00pm	**REGISTRATION**	**Brahms 1 & 2**
6:00 – 8:00pm	**Pre-Party @ La Cave, Hosted by First Trust**	

Tuesday, October 15, 2024		
10:00am – 5:00pm	**REGISTRATION**	**Brahms 1 & 2**
2:00pm – 2:20pm	**Like It or Not, Crypto Is a Once-In-A-Generation Investment Opportunity** • **John Hoffman,** Head of Distribution & Partnerships, Grayscale • Moderator: **Alex Shahidi,** Co-CIO, Evoke Advisors	**Beethoven**
2:20 – 2:40pm	**Exit Planning and Concentrated Stock Solutions** • **Jason Bogardus,** Morgan Stanley Private Wealth Management • **Joel Greenblatt,** Managing Principal & Co-Chief Investment Officer, Gotham Asset Management • Moderator: **Lisa Charkoudian,** Head of Wealth Management, Gotham Asset Management	
2:40 – 3:05pm	**Fundamentals, Fear, and the Future** • **Richard Bernstein,** CEO & CIO, Richard Bernstein Advisors • **Tom Lee,** Managing Partner & Head of Research, Fundstrat Global Advisors • Moderator: **David Bahnsen,** The Bahnsen Group • *Intro: **Blake Pastore,** Senior Associate VP | Advisor Services, SHOOK Research*	
3:05 – 3:25pm	**Human Capital, Talent Acquisition, and Growth** • **Tracey Gluck,** J.P. Morgan Wealth Management • **Don d'Adesky,** Raymond James & Associates • Moderator: **Bert White,** SVP, Western Division Director, Raymond James & Associates Private Client Group • *Intro: **Jameson Greenstone,** Wealth Management Consultant, Capital Group | Home of American Funds*	
3:25 – 3:35pm	**Snack Break**	
3:35 – 3:55pm	**Navigating Complex Wealth for UHNW Families** • **Cheryl Young,** Rockefeller Global Family Office • **Andy Timmerwilke,** Merrill Wealth Management • Moderator: **John Moninger,** Head of U.S. Distribution, Allspring Global Investments	
3:55 – 4:15pm	**Private Markets: Designing Portfolios for Resilience Across Market Cycles** • **Jay Wyckoff,** Managing Director and National Sales Manager, Blue Owl • Moderator: **Alvin Spencer,** Stifel • *Intro: **Treavor Mosbaugh,** Regional Market Leader, Southern California, Blue Owl*	
4:15 – 4:30pm	**Technology in Wealth Management - What's Ahead** • **James Taylor,** Morgan Stanley Wealth Management • **Craig Findley,** Venture Visionary Partners • Moderator: **Ron Insana,** CEO of i-Fi AI, Senior Analyst for CNBC	
4:30 – 4:50pm	**State of the U.S. Housing Market: Sizing the Opportunities** • **Josh Pristaw,** Head of Real Estate, Pretium • Moderator: **Charles Day,** UBS Private Wealth Management • *Intro: **Brian Abdoo,** Managing Director, Business Development, Pretium*	
4:50 – 5:20pm	**SHOOK® Best Idea Competition, presented by PGIM** • Judge: **Caroline Gundeck,** Managing Director, Head of Private Wealth Management, Client and Field Engagement, Morgan Stanley Wealth Management • Judge: **Krista Goryl,** Divisional Growth Director, Wells Fargo Wealth & Investment Management • Judge: **Jim Dilorenzo,** Senior Vice President, National Sales Manager, Broker-Dealer Division, PGIM Investments	
5:30 – 6:30pm	**COCKTAIL RECEPTION**	**Chopin Patio**
6:30pm	**GALA DINNER**	**Encore Ballroom**
6:45pm	**Welcome to Our Special Event** • **Liz Shook,** Co-Founder & COO, SHOOK Research	
6:55pm	**Introduction** • **Allison Bonds Mazza,** Head of Intermediary, Americas Wealth, State Street Global Advisors	

AGENDA

7:00pm	**The Group of 8** • **R.J. Shook,** Co-Founder & President, SHOOK Research, Senior Forbes Contributor	
7:25pm	**A Conversation with Ambassador John Bolton** • **John Bolton, Author, The Room Where It Happened; National Security Advisor (2018-2019); U.S. Ambassador to the United Nations (2005-2006)** • **Ronald Temple,** Chief Market Strategist, Lazard Financial Advisory & Asset Management • *Intro: **Kelly Ryan,** Managing Director, National Sales Manager, Lazard Asset Management*	

Wednesday, October 16, 2024

8:00am – 4:00pm	**REGISTRATION**	**Brahms 1 & 2**
7:00 – 8:00am	**BREAKFAST**	**Promenade**
8:00 – 8:05am	**Good Morning!** • **Frank Berland,** Managing Partner, SHOOK Research	**Encore Ballroom**
8:05 – 8:10am	**SHOOK® Best Idea Competition Winner's Announcement, presented by PGIM** • **Jim Dilorenzo,** Senior Vice President, National Sales Manager, Broker-Dealer Division, PGIM Investments	
8:10 – 8:15am	**SHOOK Ones: My Best Idea** • **Peter Rohr,** Merrill Private Wealth Management • *Introduction: **Eileen Ward,** Vice President, Investment Consultant, Calamos*	
8:15 – 8:30am	**Deepening Relationships and Client Acquisition (SIGNIFICANCE)** • **Nestor Vicknair,** Merrill Wealth Management • **Ross Mannino,** Ameriprise Financial Services • Moderator: **Pat O'Connell,** EVP, Ameriprise Advisor Group & Ameriprise Financial Institutions Group • *Intro: **Cynthia Chamberlayne,** Senior Investment Consultant, BNY Mellon Investment Management*	
8:30 – 8:45am	**The Election, Politics, and the Economy** • **Robert Stein,** Deputy Chief Economist, First Trust Portfolios • *Introduction: **Jon DiGiovanni,** Managing Director, SMAs & Direct Indexing, First Trust*	
8:45 – 9:00am	**Investing in Our Daily Lives: The Infrastructure Behind AI, Data, Power and Transportation** • **Tara Davies,** Partner, Global Head of Core Infrastructure & Co-Head of European Infrastructure, KKR • Moderator: **Eric Snyder,** Merrill Wealth Management • *Introduction: **Grant Burg,** Global Wealth Solutions, KKR*	
9:00 – 9:15am	**Century of Biotech: Capitalizing on the Biomedical Revolution** • **Andy Acker,** Portfolio Manager for the Health Care and Biotech Teams, Janus Henderson Investors • *Intro: Tony **Grigonis,** Divisional Sales Head, Janus Henderson Investors*	
9:15 – 9:35am	**Saving Our Children** • *Intro: **Jessica Donahue,** Senior Managing Director, Foundation Source*	
9:35 – 9:50am	**REFRESHMENT BREAK**	
9:50 – 10:05am	**Tax-Efficient Investing Strategies for Tax-Aware Investors** • **Brian Hetherington,** Merrill Private Wealth Management • **David Skid,** Morgan Stanley Wealth Management • **Brian Jacobs,** Head of Client Portfolio Management, PGIM Custom Harvest, PGIM Investments	
10:05 – 10:20am	**Market Outlook: Resilience Under Pressure** • **Michael Arone,** Chief Investment Strategist, State Street Global Advisors • Moderator: **Ron Insana,** CEO of i-Fi AI, Senior Analyst for CNBC • *Intro: **Dominic Bilotti,** Regional Consultant, State Street Global Advisors SPDR*	
10:20 - 10:40am	**Team Structure and Compensation** • **Michael Valdes,** Merrill Private Wealth Management • **Richard Zinman,** Morgan Stanley Private Wealth Management • Moderator: **Ken Correa,** Managing Director, Head of Business & Client Development, Merrill Wealth Management • *Intro: **Robert Bruno,** Head of Retail Distribution, First Eagle Investments*	

AGENDA

10:40 – 10:55am **Real Estate: Positioning for the Rebound**
- **Brian Kingston,** CEO, Real Estate, Brookfield Asset Management
- Moderator: **Mark Moore,** UBS Private Wealth Management
- *Intro: **Allison Williams,** Senior Vice President, Northeast Region, Brookfield Oaktree Wealth Solutions*

10:55 – 11:05am **SHOOK Ones: My Best Idea**
- **Drew Freides,** UBS Private Wealth Management
- Moderator: **Heather Crist,** Managing Director, Head of Field Engagement, WM USA, UBS Group AG
- *Intro: **Clinton Mains,** Advisor Consultant, Hartford Funds*

11:05 – 11:20am **ABCs of the Easing Cycle: Anything but Cash**
- **Bob Michele,** Managing Director, Chief Investment Officer & Head of the Global Fixed Income, Currency & Commodities Group, J.P. Morgan Asset Management
- Moderator: **Brian Pfeifler,** Morgan Stanley Private Wealth Management
- *Intro: **Jim George,** Managing Director, Client Advisor, J.P. Morgan Asset Management*

11:20 – 11:35am **Discerning Signals from Noise**
- **Emily Roland,** Co-Chief Investment Strategist, Manulife John Hancock Investments
- Moderator: **Xi Qiao,** UBS Private Wealth Management
- *Intro: **Kristie Feinberg,** President & CEO, Manulife John Hancock Investments*

11:35 – 11:50am **SNACK BREAK / TRANSITION TO BREAKOUTS**

11:50am–12:25pm **BREAKOUTS**

Breakout #1: Empowering Business Owner Conversations — **Chopin 1**
- **Andrew J. McFetridge,** Head of Strategic Investment Specialists, Manulife John Hancock Investments

Breakout #2: Crypto as an Asset Class: Portfolio Construction and Asset Allocation — **Chopin 2**
- **Zach Pandl,** Managing Director, Research, Grayscale

Breakout #3: Building Portfolios with Alternatives — **Chopin 3**
- **Duncan Rolph,** Miracle Mile Advisors
- **David Berdon,** Morgan Stanley Private Wealth Management
- Moderator: **Darby Nielson,** Fidelity Institutional Wealth Adviser LLC, Fidelity Investments

Breakout #4: Team Compensation: Best Practices and Avoiding Pitfalls — **Beethoven 1**
- **Terry Cook,** Parcion Private Wealth
- **Eric Applewhite,** Morgan Stanley Wealth Management
- Moderator: **Paul Brunswick,** Head of Invesco Global Consulting, Invesco

12:25pm **LUNCH, Sponsored by J.P. Morgan Asset Management** — **Encore Ballroom**

J.P. Morgan Asset Management Congratulates America's Top Advisors
- **Mike Bailey,** National Sales Manager, Wealth Management Channel, J.P. Morgan Asset Management

Update: Saving Our Children
- **Elizabeth Crippen Allen,** Chief Executive Officer, Children's Cancer Research Fund

Legendary Lessons: A Discussion with a Renowned Investor and His Successor
- **Bill Miller,** Managing Partner of Miller Family Office
- **Samantha McLemore,** Founder & CIO, Patient Capital Management
- Moderator: **Marvin McIntyre,** Morgan Stanley Private Wealth Management
- *Introduction: **Rebecca Kerner Reiss,** Head of Business Development & Investor Relations, Patient Capital Management*

Investing for Resilience: Trends and Opportunities in Private Credit
- **Lawrence Golub,** Chief Executive Officer, Golub Capital
- Moderator: **Ryan McClellan,** UBS Private Wealth Management
- *Intro: **Thomas Burt,** Managing Director, Head of Private Wealth Americas, Golub Capital*

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- *Introduction: **Paul Reiss,** Senior Vice President, Accolades & Strategic Partnerships, Forbes Media*

NETWORKING BREAK

1:25 – 1:40pm **Market Outlook and Investment Opportunities**
- **Rick Rieder,** CIO of Global Fixed Income and Head of Global Allocation, BlackRock
- *Introduction: **Mark Oppedisano,** Director, U.S. Wealth Advisory, BlackRock*

1:40 – 1:50pm **REFRESHMENT BREAK / TRANSITION TO BREAKOUTS**

1:50 – 2:20pm **BREAKOUTS**

AGENDA

	Breakout #1: The State of Private Infrastructure • **Nicholas Moller,** Managing Director, Investment Specialist, Infrastructure Investments Group, J.P. Morgan Asset Management	**Chopin 1**
	Breakout #2: Unlocking Tax Alpha for High-Net-Worth Investors • **Brian Jacobs,** Head of Client Portfolio Management, PGIM Custom Harvest, PGIM Investments • Moderator: **Jonathan Peters,** Morgan Stanley Wealth Management	**Chopin 2**
	Breakout #3: Finding your Niche: Opportunities in Alternative Credit • **Claudio Macchetto,** Managing Director, GoldenTree Asset Management • **Steve Kavulich,** Head of U.S. Opportunistic Private Credit, Nomura Capital Management • **Jim Buccola,** Partner & Head of Structured Credit, Medalist Partners • Moderator: **Kathleen Entwistle,** Morgan Stanley Private Wealth Management	**Chopin 3**
	Breakout #4: Top Advisors-Top Ideas • **Michael Poppo,** UBS Wealth Management • **Todd Silaika,** Merrill Wealth Management • Moderator: **John Moninger,** Head of U.S. Distribution, Allspring Global Investments	**Beethoven 1**
2:20 – 2:30pm	**SNACK BREAK / RETURN TO GENERAL SESSION**	**Encore Ballroom**
2:30 – 2:45pm	**The Evolving Credit Landscape** • **Mike Arougheti,** Director, Co-Founder, Chief Executive Officer and President of Ares • Moderator: **Raj Dhanda, Partner,** Global Head of Wealth Management, Ares	
2:45 – 3:05pm	**Putting the Income Back in Fixed Income** • **Scott Zaleski,** Head of U.S. Multi-Sector Fixed Income & Senior Portfolio Manager of the BNY Mellon Core Plus Strategy at Insight Investment • **John Miller,** Head and Chief Investment Officer of the High Yield Municipal Credit Team, First Eagle Investments • Moderator: **Todd Battaglia,** MG&A Wealth • *Intro: **Callie Askins,** Senior Associate VP | Project Leader, SHOOK Research*	
3:05 – 3:10pm	**SHOOK Ones: My Best Idea** • **Richard Jones,** Merrill Private Wealth Management • *Intro: **Devan O'Dowd,** Regional Director, Global X ETFs*	
3:10 – 3:25pm	**Lessons from the Endowment Model** • **Joe Dowling,** Global Head of Blackstone Alternative Asset Management • Moderator: **Joe Lohrer,** Head of U.S. Retail Sales, Blackstone Private Wealth Solutions • *Intro: **Caroline Gundeck,** Managing Director, Head of Private Wealth Management Client and Field Engagement, Morgan Stanley Wealth Management*	
3:25 – 3:40pm	**Multiomics: The Healthcare Revolution You're Not Exposed To** • **Cathie Wood,** CEO & CIO, ARK Investments • Moderator: **Rebecca Burke,** Vice President & Product Specialist, ARK Investments • *Intro: **Victor Kopelakis,** Regional Vice President, Sales, Resolute Investment Managers*	
3:40 – 3:50pm	**SHOOK Ones: My Best Idea** • **Seth Haye,** Morgan Stanley Wealth Management • Moderator: **Brian McDonald,** Managing Director | Head of Direct and Institutional Businesses, Morgan Stanley Wealth Management • *Intro: **John Blau,** Managing Director, Alkeon Capital Management*	
3:50 – 4:05pm	**Staying Ahead of the Curve: Fixed Income Perspectives** • **Marc Seidner,** CIO of Non-Traditional Strategies, PIMCO • Moderator: **Victor Livingstone,** Morgan Stanley Private Wealth Management • *Intro: **Peter Prinstein,** Executive Vice President & Head of Private Client Group, PIMCO*	
4:05 – 4:15pm	**REFRESHMENT BREAK**	
4:15 – 4:35pm	**GenAI: From Experimentation to Transformation** • **Beijia Ma,** Head of Equity Strategy, Alkeon Capital Management • **Scott Helfstein,** Head of Investment Strategy, Global X ETFs • Moderator: **Brian Werdesheim,** Oppenheimer • *Intro: **Lindsey Nacht,** Vice President | Director of Operations, SHOOK Research*	

AGENDA

4:35 – 4:40pm	**SHOOK One's: My Best Idea** • **Sara Wendt,** Miracle Mile Advisors	
4:40 – 5:00pm	**Where Opportunities Lie Domestically & Internationally** • **Megan France,** ETF Specialist, Capital Group | Home of American Funds • **Nick Kirrage,** Co-head of the Schroder Global Value Equities Team & Portfolio Manager of Hartford Schroders International Contrarian Value Fund • Moderator: **Peter Disch,** Great Point Wealth Advisors • *Intro: **LoriAnn LaSalle,** Vice President | Business Manager, SHOOK Research*	
5:00 – 5:15pm	**What's Ahead for the Markets and Economy** • **Prof. Jeremy Siegel,** Senior Economist to WisdomTree & Emeritus Professor at The Wharton School of the University of Pennsylvania • *Intro: **Andrew Tsiropinas**, Director, Sales, WisdomTree*	
5:15 – 5:35pm	**Unlocking Growth in Your Private Equity Portfolio** • **Todd Sisitsky,** President of TPG Inc. & Co-Managing Partner, TPG Capital • **Liz Campbell,** Managing Director, Chief Investment Officer of Portfolio Advisors, FS Investments • Moderator: **Ron Insana,** CEO of i-Fi AI, Senior Analyst for CNBC	
5:35 – 5:55pm	**Winner's Circle – Lesson's from America's #1 Advisor** • **Jeff Erdmann,** Merrill Private Wealth Management • Moderator: **Paul Brunswick,** Head of Invesco Global Consulting, Invesco • *Intro: **Nick Cirbo,** Market Leader, Invesco*	
5:55 – 6:00pm	**Let's Get to Cocktails!** • **Frank Berland,** Managing Partner, SHOOK Research	
6:00 – 7:00pm	**COCKTAIL RECEPTION**	**Chopin Patio**
7:00pm	**DINE-AROUNDS WITH PARTNERS**	
	Thursday, October 17, 2024	
7:30 – 8:30am	**BREAKFAST**	**Promenade**
8:30 – 8:35am	**Coffee with Lizzy** • **Liz Shook,** Co-Founder & COO, SHOOK Research	**Encore Ballroom**
8:35 – 8:40am	**SHOOK Ones: My Best Idea** • **Jonathan Beukelman,** UBS Private Wealth Management • *Intro: **Luke Bartow,** Associate VP | Event Programming, SHOOK Research*	
8:40 – 9:00am	**The Next Chapter: Innovation, Opportunity, and the Future of Asset Management** • **Jenny Johnson,** President & CEO, Franklin Templeton • Moderator: **Patti Brennan,** Key Financial • *Introduction: **Heather Lenseth,** SVP, Division Sales Manager, Northeast, Franklin Templeton*	
9:00 – 9:20am	**Why She Chooses You - Women, Wealth, and Wisdom** • **Jeannie Underwood-Kotner,** Senior Vice President, Head of Global Atlantic Consulting	
9:20 – 9:40am	**Unique Approaches to Attracting and Retaining Clients** • **Shawn Creger,** Creger Wealth Management, Edward Jones • **Laila Pence,** Pence Wealth Management, LPL Financial • Moderator: **Julian Lopez,** EVP of Relationship Management, LPL Financial • *Intro: **Erick Lopez,** Associate VP | Research, SHOOK Research*	
9:40 – 10:00am	**Succession Planning and How to Prepare** • **Nelrae Ali,** Array Private Investment Advisory Group | Wells Fargo Financial Network • **Scott Tiras,** Ameriprise Financial Services • Moderator: **Rob Dilbone,** Managing Director, Trade-PMR	
10:00 – 10:15am	**REFRESHMENT BREAK**	
10:15 – 10:30am	**Investing in Space & Defense Tech… an LP Perspective** • **Michael Smith,** Avidian Wealth • **Anup Swamy,** Maroon Pass • Moderator: **Phil Scully,** General Partner, Balerion Space Venture	

AGENDA

10:30 – 10:50am **New Ideas Around Client Engagement**

- **Charles Balducci,** Merrill Wealth Management
- **Terry Cook,** Parcion Private Wealth
- Moderator: **Michael Schweitzer,** Head of North America Client Group, Janus Henderson Investors
- *Intro:* ***Jordan Merrill,*** *Associate VP | Research Manager, SHOOK Research*

10:50 – 10:55am **SHOOK Ones: My Best Idea**

- **Sean Doyle,** Merrill Wealth Management

10:55 – 11:00am **The Last Word**

- **R.J. Shook,** Co-Founder & President, SHOOK Research, Senior Forbes Contributor
- **Liz Shook,** Co-Founder & COO, SHOOK Research